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October 19, 2005

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WRITER'S DIRECT NUMBER: (202) 772-8625 **INTERNET ADDRESS:** 

Attn: Mail Stop Amendment

Art Unit 1631

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 09/894,018; Filed: June 27, 2001 Method and System for Optimizing

Minigenes and Peptides Encoded Thereby

Inventors:

Sette et al.

Our Ref:

2060.0320003/EKS/PAC

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Third Supplemental Information Disclosure Statement Filing Under 37 C.F.R. § 1.97(b);
- 2. One page of Form PTO/SB/08B listing four documents (NPL167-NPL170);
- 3. Copies of the four documents as listed on Form PTO/SB/08B; and
- 4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eric K. Steffe

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EKS/PAC:dbi

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 7107

Sette et al.

Art Unit: 1631

Appl. No.: 09/894,018

Examiner: Ardin H. Marschel

Filed: June 27, 2001

Atty. Docket: 2060.0320003/EKS/PAC

For: Method and System for Optimizing Minigenes and Peptides Encoded

Thereby

## Third Supplemental Information Disclosure Statement Filing Under 37 C.F.R. § 1.97(b)

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO/SB/08B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Second Supplemental Information Disclosure Statement filed on September 9, 2005, in connection with the above-captioned application. Copies of documents are submitted herewith.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached Form PTO/SB/08B based on information presently available to the undersigned. However, the listed

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO/SB/08B, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eric K. Steffe

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PTO/SB/08B (07-05)

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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. form 1449/PTO Complete if Known 09/894,018 Application Number THIRD SUPPLEMENTAL June 27, 2001 Filing Date INFORMATION DISCLOSURE Alessandro Sette First Named Inventor STATEMENT BY APPLICANT 1631 Art Unit (Use as many sheets as necessary) Ardin H. Marschel **Examiner Name** 2060.0320003/EKS/PAC Attorney Docket Number Sheet of

		NON PATENT LITERATURE DOCUMENTS		
Examiner   Cite No. 1   Initials*		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published		
	NPL167	An, LL. and Whitton, J.L., "A Multivalent Minigene Vaccine, Containing B-Cell, Cytotoxic T-Lymphocyte, and T <sub>h</sub> Epitopes from Several Microbes, Induces Appropriate Responses In Vivo and Confers Protection against More than One Pathogen," <i>J. Virol.</i> 71:2292-2302, American Society for Microbiology (1997)		
	NPL168	Chai, S.K., et al., "Immunogenic Properties of Multiple Antigen Peptide Systems Containing Defined T and B Epitopes," J. Immunol. 149:2385-2390, The American Association of Immunologists (1992)		
	NPL169	Shi, Y.P., et al., "Immunogenicity and in vitro protective efficacy of a recombinant multistage Plasmodium falciparum candidate vaccine," Proc. Natl. Acad. Sci. USA 96:1615-1620, (February 1999)		
	NPL170	Supplementary Partial European Search Report for European Application No. EP 00 98 9585, European Patent Office, The Netherlands, mailed September 19, 2005	-	
			4	

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Examiner	Date	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation it not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information.

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